

**Holding of specified securities  
Annexure - I**

1. Name of Listed Entity :	<b>Swarnsarita Gems Ltd.</b>
2. Scrip Code/Name of Scrip/Class of Security :	<b>526365 / Equity</b>
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	<b>31(1)(b)</b>
a. If under 31(1)(b) then indicate the report for Quarter ending	<b>30-09-2018</b>
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
<b>Particulars</b>	<b>Yes*/No*</b>
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	

Name of the Company : Swarnsarita Gems Ltd.  
 Script Code : Equity  
 As on : 30-09-2018

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:X	Class eg:Y	Total								
(A)	Promoter & Promoter Group	1	9357187			9357187	44.82	9357187		9357187	44.82			6263040	66.93	9357187		
(B)	Public	6531	11519613			11519613	55.18	11519613		11519613	55.18					11084588		
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>6532</b>	<b>20876800</b>			<b>20876800</b>	<b>100</b>	<b>20876800</b>		<b>20876800</b>	<b>100</b>			<b>6263040</b>	<b>30</b>	<b>20441775</b>		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	ClassY	Total								
-1	Indian		0	0		0		0	0									
(a)	Individuals/Hindu undivided Family		0	0		0		0	0									
(b)	Central Government/ State Government(s)		0	0		0		0	0									
(c)	Financial Institutions/ Banks		0	0		0		0	0									
(d)	Any Other (specify)	1	9357187	0		9357187	44.82	9357187	9357187	44.82	44.82			6263040	66.93	9357187		
	SWARNSARITA JEWELLERS PVT LTD	1	9357187	0		9357187	44.82	9357187	9357187	44.82	44.82			6263040	66.93	9357187		
Sub-Total (A)(1)		1	9357187	0		9357187	44.82	9357187	9357187	44.82	44.82			6263040	66.93	9357187		
-2	Foreign		0	0		0		0	0									
(a)	Individuals (NonResident Individuals/ Foreign Individuals)		0	0		0		0	0									
(b)	Government		0	0		0		0	0									
(c)	Institutions		0	0		0		0	0									
(d)	Foreign Portfolio Investor		0	0		0		0	0									
(e)	Any Other (specify)		0	0		0		0	0									
Sub-Total (A)(2)			0	0		0		0	0									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	9357187	0		9357187	44.82	9357187	9357187	44.82	44.82			6263040	66.93	9357187		
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
-1	Institutions		0	0		0		0										
(a)	Mutual Funds		0	0		0		0										
(b)	Venture Capital Funds		0	0		0		0										
(c)	Alternate Investment Funds		0	0		0		0										
(d)	Foreign Venture Capital Investors		0	0		0		0										
(e)	Foreign Portfolio Investors		0	0		0		0										
(f)	Financial Institutions/ Banks		0	0		0		0										
(g)	Insurance Companies		0	0		0		0										
(h)	Provident Funds/ Pension Funds		0	0		0		0										
(i)	Any Other (specify)		0	0		0		0										
Sub-Total (B)(1)			0	0		0		0										
-2	Central Government/ State Government(s)/ President of India		0	0		0		0										
Sub-Total (B)(2)			0	0		0		0										
-3	Non-institutions		0	0		0		0										
(a)(i)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6166	2347437	0		2347437	11.24	2347437		2347437	11.24							1956712
(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	54	6318506	0		6318506	30.27	6318506		6318506	30.27							6318506
	LUXMI KANT GUPTA	1	307437	0		307437	1.47	307437		307437	1.47							307437
	PIYUSH DILIP NABERA	1	370523	0		370523	1.77	370523		370523	1.77							370523
	SHIVAJIRAO S JONDHALE	1	370855	0		370855	1.78	370855		370855	1.78							370855
	JAGDISHCHANDRA JAJOO	1	483700	0		483700	2.32	483700		483700	2.32							483700
	SHEELA SUNIL KOTHARI	1	663271	0		663271	3.18	663271		663271	3.18							663271
(b)	NBFCs registered with RBI		0	0		0		0		0								
(c)	Employee Trusts		0	0		0		0		0								
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0		0								
(e)	Any Other (specify)	311	2853670	0		2853670	13.67	2853670		2853670	13.67							2809370
	Foreign Nationals	1	200	0		200	0	200		200	0							200
	Clearing Members	22	38719	0		38719	0.19	38719		38719	0.19							38719
	Non-Resident Indian (NRI)	117	86285	0		86285	0.41	86285		86285	0.41							44485
	SPARKLE SECURITIES SOLUTIONS PVT LT	1	273900	0		273900	1.31	273900		273900	1.31							273900
	INVESTOR EDUCATION AND PROTECTION F	1	279333	0		279333	1.34	279333		279333	1.34							279333
	IEPF	1	279333	0		279333	1.34	279333		279333	1.34							279333
	GRACEUNITED REAL ESTATE PRIVATE LIM	1	382233	0		382233	1.83	382233		382233	1.83							382233
	HUF	109	980333	0		980333	4.7	980333		980333	4.7							979833
	Bodies Corporate	61	1468800	0		1468800	7.04	1468800		1468800	7.04							1466800
Sub-Total (B)(3)		6531	11519613	0		11519613	55.18	11519613		11519613	55.18							11084588
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6531	11519613	0		11519613	55.18	11519613		11519613	55.18							11084588
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																		

