Holding of specified securities

Annexure - I

1. Name of Listed Entity :	Swarnsarita Gems Ltd.
2. Scrip Code/Name of Scrip/Class of Security :	526365 / Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Regulation 31 (1) (b)
a. If under 31(1)(b) then indicate the report for Quarter ending	31-12-2018
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the	
extent of submission of information:-	
Particulars	Yes*/No*
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
* If the Listed Entity selects the option 'No' for the questions above, the columns for the	
partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts,	
locked-in shares, No of shares pledged or otherwise encumbered by promoters, as	
applicable, shall not be displayed at the time of dissemination on the Stock Exchange	
website. Also wherever there is 'No' declared by Listed Entity in above table the values	
will be considered as 'Zero' by default on submission of the format of holding of	
specified securities.	

Name of the Company : Script Code : As on : Swarnsarita Gems Ltd. Equity

Equity 31-12-2018

Table I - Summary Statement holding of specified securities

					No. of shares		Shareholding as a %	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying	Shareholding , as a % assuming full conversion of convertible	Number of Locked in shares (XII)		Number of Shares pledged s or otherwise encumbered (XIII)		Number of
Category Category of shareholder (I) (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+ (VI)	As a % of (A+B+C2)	No e Class eg:X	Class eg:Y		Total as a % of (A+B+ C)	(including Warrants) (X)	convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	equity shares held in dematerialized form (XIV)	
(A) Promoter & Promoter Group	1	9357187			9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
(B	Public	6506	11519613			11519613	55.18	11519613		11519613	55.18		55.18					11089188
(C) Non Promoter- Non Public																	
(C1	Shares underlying DRs																	
(C2) Shares held by Employee Trusts																	
	Total	6507	20876800			20876800	100	20876800		20876800	100		100			6263040	30	20446375

							Shareholdin	Number		nts held in eac rities X)	ch class of	No. of Shares	0	sh	f Locked in ares KII)	pledged of encur	of Shares r otherwise nbered III)	Number of
		N. C	No. of fully paid up	Partly paid-	Nos. of - shares	Total nos.	SCRR, 1957 As a % of (A+B+C2)	No	of Voting Ri	hts		Underlying Outstanding convertible securities (including Warrants) (X)	conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2					equity
	Category & Name of the Shareholders (I)	No. of shareholder (III)		up equity shares held (V)	underlying Depository Receipts (VI)	shares held (VII = IV+V+VI)		Class X	ClassY	Total	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	shares held in dematerializ ed form (XIV)
-1	Indian		0	0		0		0		0								
(9)	Individuals/Hindu undivided Family		0	0		0		0		0								
	Central Government/ State Government(s)		0	0		0		0		0								
(c)	Financial Institutions/ Banks		0	0		0		0		0								
	Any Other (specify)	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
	SWARNSARITA JEWELLERS PVT LTD	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
Sub-Total (A)(1)		1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
	Foreign		0	0		0		0		0								L
(a)	Individuals (NonResident Individuals/ Foreign Individuals)		0	0		0		0		0								
	Government		0	0		0		0		0								
	Institutions	<u> </u>	0	0		0	<u> </u>	0		0							L	
	Foreign Portfolio Investor Any Other (specify)	<u> </u>	0	0		0		0		0							<u> </u>	┝────┤
(e) Sub-Total (A)(2)	Any Other (specify)		0	0		0		0		0								
Total Shareholding of Promoter and Promoter		1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
Group (A)=(A)(1)+(A) (2)																		
	Details of Shares which rema Stock Exchange(s).(2) The te		• •								-		0 0	are frozen e	c.Note:(1) PA	N would not h	oe displayed o	n website of

					Nos. of		Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C 2) (VIII)		sec	ghts held in each c urities (IX)	class of	No. of Shares	Shareholding , as a % assuming full	Number of in sha (XII	res	ed Number of Shares pledged or otherwise encumbered (XIII)		-	
	Category & Name of the Shareholders	No. of	No. of fully paid up equity	Partly paid-up equity	shares underlyi ng			No of	No of Voting Rights			Underlying Outstanding convertible	conversion of convertible securities (as		Asa			Number of equity shares held in	
	(I) Institutions	shareholder (III)	shares held (IV)	shares held (V)	Deposito ry Receipts (VI)	(VII = IV+V+VI)		Class X	ClassY	Total	Total as a % of Total Voting rights	securities (including Warrants) (X)	a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)	% of total Shares held (b)	No. ; (a)	As a % of total shares held (b)	dematerialized form (XIV)	
-1			0	()	0)	0		0									
(a)	Mutual Funds		0	()	0)	0		0									
(b)	Venture Capital Funds		0	()	0)	0		0									
(c)	Alternate Investment Funds		0	(0		0		0									
(d)	Foreign Venture Capital Investors		0	(0		0		0					<u> </u>		L		
(e)	Foreign Portfolio Investors		0	(0		0	<u> </u>	0			ł		<u> </u>		<u> </u>	·	
(f)	Financial Institutions/ Banks		0	(0		0	I	0			ł		<u> </u>			·	
(g)	Insurance Companies		0			0		0		0									
(h)	Provident Funds/ Pension Funds		0	(0				0					<u> </u>		<u> </u>		
(i) Sub-Total (B)(1)	Any Other (specify)		0			0				0		1	ł		<u> </u>		<u> </u>		
	Central Government/ State		0		1	0	1		-	0			1						
-2	Government(s)/ President of India		0			0		0		0					1			1	
Sub-Total (B)(2)	Government(s)/ Tresident of mula		0	(0		0		0									
-3	Non-institutions		0	(0		0		0									
C			Ŭ																
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6137	2307497	C		2307497	11.05	2307497		2307497	11.05		11.05					1921372	
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2	56	6332766	(6332766	30.33	6332766		6332766	30.33		30.33					6332766	
	lakhs.																		
	LUXMI KANT GUPTA	1	307437	(307437				307437	1.47		1.47					307437	
	PIYUSH DILIP NABERA	1	370673	(370673	1.78			370673	1.78		1.78		-			370673	
	SHIVAJIRAO S JONDHALE	1		(370855				370855	1.78		1.78		-			370855	
	JAGDISHCHANDRA JAJOO	1	483700 663271			483700 663271	2.32			483700	2.32		2.32		-			483700	
(b)	SHEELA SUNIL KOTHARI NBFCs registered with RBI	1	0032/1		·	0032/1	3.18	0032/1		663271	3.18		3.18					663271	
(c)	Employee Trusts		0	(,	0		0		0									
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	(0		0		0									
(e)	Any Other (specify)	313	2879350	(2879350	13.79	2879350		2879350	13.79		13.79		1			2835050	
	Foreign Nationals	1	200	(200	0 0	200		200	0		0					200	
	Clearing Members	24				43641				43641	0.21		0.21					43641	
	Non-Resident Indian (NRI)	117	78587	()	78587	0.38	78587		78587	0.38		0.38		<u> </u>		L	36787	
	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS	1	279333	(279333	1.34	279333		279333	1.34		1.34					279333	
	IEPF	1	279333	(279333	1.34	279333		279333	1.34		1.34		1		1	279333	
	GRACEUNITED REAL ESTATE PRIVATE LIM	1	382233			382233	1.83	382233		382233	1.83		1.83					382233	
	HUF	109		()	1039304				1039304	4.98		4.98					1038804	
	Bodies Corporate	61		(1438285				1438285	6.89		6.89		I			1436285	
Sub-Total (B)(3) Total Public		6506	11519613	(11519613	55.18	11519613		11519613	55.18		55.18					11089188	
Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6506	11519613	(11519613	55.18	11519613		11519613	55.18		55.18					11089188	
	Detelle of the observed 12 41		la de dia de 1 m	 	(N	10()-D-(" *?	 	 -h	1	ha simon h			 		 		l Inder 19		
	Details of the shareholders acting as perso suspense account, voting rights which are of total number of shares. (3) W.r.t. the in	e frozen etc.No	te:(1) PAN would	not be di	splayed on	website of Stock	Exchange	e(s). (2) The above	format	needs to be disclo	sed along	with the name	of following per	sons: Institu	utions/N	01	n Institutio	n Institutions holding	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

							Shareh olding % calculat	Number		g Rights held in 6 f securities (IX)	each class	No. of Shares Underlyin g Outstandi ng convertibl e securities (including Warrants) (X)	securities (as a percentage of diluted share capital)	Number of in sha (XI	Number of Shares pledged or otherwise encumbered			
	Category & Name of the	No. of shareholder	No. of fully paid up equity	Partly paid-up equity	Nos. of shares underlying	Total nos. shares held	ed as per SCRR,	N	o of Voti	ng Rights	-							Number of equity shares held in
	Shareholders (I)	(III)	shares held (IV)	shares held (V)	Depository Receipts (VI)		1957 As a % of (A+B+ C2) (VIII)	Class X	Class Y	Total	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	of total f	dematerialized form (XIV)
1	Custodian/DR Holder																	
(a)	Name of DR Holder (if available)																	
	Sub-Total [C](1)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
	Name (abc Sub-Total [C](2)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	(
	Total Non- Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	