

October 10, 2017

To,  
Bombay stock Exchange Ltd,  
The Department of Corporate Service,  
P.J. Tower, Dalal Street,  
Mumbai-400001.

**Sub.:-Disclosure as per Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Ref: Scrip Code : 526365**  
**Scrip ID : SWARN SAR**

Dear Sir,

With Reference to Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, Please find enclosed herewith the Statement of Investor Complaints for the Quarter ended from 1<sup>st</sup> July, 2017 to 30<sup>th</sup> September, 2017.

Statement of investor complaints for the Quarter ended 30<sup>th</sup> September, 2017:

| Sr. No. | Particulars   | Number of Complaints |
|---------|---|----------------------|
| 1       | Number of Investor Complaints pending at the beginning of the Quarter | NIL                  |
| 2       | Add: Number of Investor Complaints received during the Quarter        | NIL                  |
| 3       | Total (1+2)   | NIL                  |
| 4       | Less: Number of Investor Complaints Resolved during the Quarter       | NIL                  |
| 5       | Number of Investor Complaints Pending at the end of the Quarter (3-4) | NIL                  |

This is for your information and record purpose.

Thanking you

Yours Faithfully  
**FOR SWARN SARITA GEMS LTD**  
  
**(PRAFULLA DEVALIYA)**  
**COMPANY SECRETARY**



**SWARN SARITA GEMS LIMITED**

CIN No.: L36911MH1992PLC068283 | Email: info@swarnsarita.com | Web: www.swarnsarita.com

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# CERTIFICATE



AN ISO 9001-2008 COMPANY

## SWARNSARITA GEMS LTD

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-07-2017 TO 30-09-2017

| SRL.NO. | NATURE OF REQUESTS/COMPLAINTS   | OPENING BALANCE | STOCK EXCHANGE | SEBI     | OTHERS   | TOTAL RECEIVED | REDRESSED | PENDING  |
|---------|---|-----------------|----------------|----------|----------|----------------|-----------|----------|
| 1       | DELAY IN TRANSFER OF SHARES.  | -               | -              | -        | -        | -              | -         | -        |
| 2       | NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.                                       | -               | -              | -        | -        | -              | -         | -        |
| 3       | DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.   | -               | -              | -        | -        | -              | -         | -        |
| 4       | DELAY/ NON RECEIPT OF ANNUAL REPORTS.   | -               | -              | -        | -        | -              | -         | -        |
| 5       | DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.  | -               | -              | -        | -        | -              | -         | -        |
| 6       | DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.   | -               | -              | -        | -        | -              | -         | -        |
| 7       | DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.                                     | -               | -              | -        | -        | -              | -         | -        |
| 8       | DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.  | -               | -              | -        | -        | -              | -         | -        |
| 9       | MANIPULATION IN THE ACCOUTS STATEMENTS.   | -               | -              | -        | -        | -              | -         | -        |
| 10      | UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS. | -               | -              | -        | -        | -              | -         | -        |
| 11      | DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.  | -               | -              | -        | -        | -              | -         | -        |
| 12      | DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.                                       | -               | -              | -        | -        | -              | -         | -        |
|         | <b>NIL COMPLAINT RECEIVED</b>   | <b>0</b>        | <b>0</b>       | <b>0</b> | <b>0</b> | <b>0</b>       | <b>0</b>  | <b>0</b> |

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)