

SWARNSARITA GEMS LIMITED

Reg. Add.: 17/19, Ground Floor, Dhanji Street, Mumbai - 400003

CIN: L36911MH1992PLC068283 Email: info@swarnsarita.com

Tel. no.: 022-43590000 Fax. No.: 022-43590010 Website: www.swarnsarita.com

January 13, 2018

To,
Bombay Stock Exchange Ltd,
The Department of Corporate Service,
P.J. Tower, Dalal Street,
Mumbai - 400001.

Sub.-:Disclosure as per Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Ref: Scrip Code : 526365
Scrip ID : SWARNSAR

Dear Sir,

With Reference to Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, Please find enclosed herewith Third Quarter ended the Statement of Investor Complaints for the period from 1st October, 2017 to 31st December, 2017.

Statement of investor complaints for the Third Quarter ended 31st December, 2017:

Sr. No.	Particulars	Number of Complaints
1	Number of Investor Complaints pending at the beginning of the Quarter	NIL
2	Add: Number of Investor Complaints received during the Quarter	NIL
3	Total (1+2)	NIL
4	Less: Number of Investor Complaints Resolved during the Quarter	NIL
5	Number of Investor Complaints Pending at the end of the Quarter (3-4)	NIL

This is for your information and record purpose.

Thanking you

Yours Faithfully
FOR SWARNSARITA GEMS LTD

Prafulla
(PRAFULLA DEVALIYA)
COMPANY SECRETARY
Mumbai



CERTIFICATE



AN ISO 9001-2008 COMPANY

SWARNSARITA GEMS LTD

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-10-2017 TO 31-12-2017

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	NIL COMPLAINT RECEIVED	0	0	0	0	0	0	0

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)