

October 12, 2016

To,  
Bombay stock Exchange Ltd,  
The Department of Corporate Service,  
P.J. Tower, Dalal Street,  
Mumbai-400001.

**Sub.:-Disclosure as per Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Ref: Scrip Code : 526365**  
**Scrip ID : SWARN SAR**

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Dear Sir,

With Reference to Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement of Investor Complaints for the period from 1<sup>st</sup> July, 2016 to 30<sup>th</sup> September, 2016.

This is for your information and record purpose.

Thanking you

Yours Faithfully  
For **SWARN SARITA GEMS LTD**

  
**(PRAFULLA DEVALIYA)**  
**COMPANY SECRETARY**



**SWARN SARITA GEMS LIMITED**

CIN No.: L36911MH1992PLC068283 | Email: info@swarnsarita.com | Web: www.swarnsarita.com

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Production Facility: 419, Hill View Industrial Estate, Behind R City, LBS Marg, Ghatkopar (West), Mumbai-400 086. Tel.: +91 22 2500 4500

204, Shails Mall, 4th Lane, C. G. Road, Ahmedabad-380 007. Tel.: +91 79 2640 5556

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# CERTIFICATE



AN ISO 9001-2008 COMPANY

## SWARNSARITA GEMS LTD

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-07-2016 TO 30-09-2016

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	<b>NIL COMPLAINT RECEIVED</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)