



# CERTIFICATE



AN ISO 9001-2008 COMPANY

## SWARNSARITA GEMS LTD

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-01-2016 TO 31-03-2016

| SRL.NO. | NATURE OF REQUESTS/COMPLAINTS   | OPENING BALANCE | STOCK EXCHANGE | SEBI | OTHERS | TOTAL RECEIVED | REDRESSED | PENDING |
|---------|---|-----------------|----------------|------|--------|----------------|-----------|---------|
| 1       | DELAY IN TRANSFER OF SHARES.  |                 |                |      |        |                |           |         |
| 2       | NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.                                       |                 |                |      |        |                |           |         |
| 3       | DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.   |                 |                |      |        |                |           |         |
| 4       | DELAY/ NON RECEIPT OF ANNUAL REPORTS.   |                 |                |      |        |                |           |         |
| 5       | DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.  |                 |                |      |        |                |           |         |
| 6       | DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.   |                 |                |      |        |                |           |         |
| 7       | DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.                                     |                 |                |      |        |                |           |         |
| 8       | DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.  |                 |                |      |        |                |           |         |
| 9       | MANIPULATION IN THE ACCOUTS STATEMENTS.   |                 |                |      |        |                |           |         |
| 10      | UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS. |                 |                |      |        |                |           |         |
| 11      | DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.  |                 |                |      |        |                |           |         |
| 12      | DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.                                       |                 |                |      |        |                |           |         |
|         | <b>NIL COMPLAINT RECIEVED</b>   |                 |                |      |        |                |           |         |

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)